

1 **Evaluating the neutralizing ability of a CpG-adjuvanted S-2P subunit vaccine against**
2 **SARS-CoV-2 Variants of Concern**

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33 **Abstract**

34 Vaccination is currently the best weapon to control the COVID-19 pandemic. However, an alarming
35 number of novel variants termed Variants of Concern (VoC) were found to harbor mutations that diminished
36 the neutralizing capacity of antibodies elicited by the vaccines. We have investigated the neutralizing titers of
37 antibodies from sera of humans and rats immunized with the MVC-COV1901 vaccine against pseudoviruses
38 coated with the wildtype, D614G, B.1.1.7, or B.1.351 spike proteins. Rats vaccinated with two doses of
39 adjuvanted S-2P retained neutralization activities against the B.1.351 variant, albeit with a slight reduction
40 compared to wildtype. Phase 1 vaccinated subjects showed more reduced neutralization abilities against the
41 B.1.351 variant. The study is among the first, to our knowledge, to demonstrate dose-dependent neutralizing
42 responses against VoCs, particularly against B.1.351, from different doses of antigen in a clinical trial for a
43 subunit protein COVID-19 vaccine. The appearance of vaccine escape variants is a growing concern facing
44 many current COVID-19 vaccines and therapeutics. Strategies should be adopted against the ever-changing
45 nature of these variants. The observations of this study grant us valuable insight into preemptive strikes
46 against current and future variants.

47 **Introduction**

48 RNA viruses are fast-evolving and can accumulate mutations due to their error-prone polymerases;
49 however, bearing the largest and most complex RNA virus genome, coronaviruses such as the SARS-CoV-2
50 have proofreading polymerases that allow them to slowly mutate over time to adapt to hosts compared to other
51 RNA viruses¹. Since the beginning of the COVID-19 pandemic, mutants have been detected periodically. A
52 number of them, termed Variants of Concern (VoC), were found to carry mutations in the crucial receptor-
53 binding domain (RBD) for antibody recognition and neutralization. The most representative of these VoCs, all
54 bearing an N501Y mutation in the spike RBD, are B.1.1.1.7, B.1.351, and P1, which were first reported in the
55 UK, South Africa, and Brazil respectively²⁻⁴. The implication is the lowered neutralization capabilities of
56 monoclonal antibodies and antibodies induced by vaccines, and these mutations could potentially render the
57 current therapeutics and vaccines ineffective⁵. Major therapeutic and vaccine manufacturers such as
58 Regeneron, Moderna, Pfizer, and AstraZeneca had published reports of variants including B.1.351 and P1 that
59 were highly resistant to neutralization⁶⁻⁸. Studies have attributed the resistance to antibody neutralization in
60 B.1.351 and P1 variants to triple mutations K417N, E484K, and N501Y in the spike protein RBD. E484K
61 mutation alone rendering the protein refractory to antibody binding via steric hindrance⁶. The industry has
62 scrambled for strategies to combat these emerging variants, including redesigning the vaccine to elicit either
63 variant-specific or more broadly neutralizing antibodies or administering the additional dose to boost the
64 immune response to compensate for the reduction in neutralization⁹⁻¹¹.

65 Medigen's MVC-COV1901 is a SARS-CoV-2 vaccine consisting of recombinant prefusion stabilized
66 spike protein S-2P with CpG 1018 and aluminum hydroxide (alum) adjuvants which have been shown to
67 induce a very high level of antibody titers in preclinical studies¹². We sought to ask whether MVC-COV1901
68 could still defend against these variants with its ability to induce a high level of immunogenicity. We found
69 that antisera taken from the phase 1 vaccinated subjects showed neutralization against the wildtype, D614G,
70 and B.1.1.7 pseudoviruses, while B.1.351 pseudoviruses could be neutralized but to a lesser extent. It was also
71 found that a higher antigen dose elicited better neutralizing antibody response.

72

73 **Results**

74 **MVC-COV1901-induced antibodies in rats effectively neutralized variants comparable to the wildtype.**

75 We took rat sera from previous toxicology studies to assess antibodies' neutralization ability against
76 emerging variants and subjected them to wildtype and B.1.351 pseudovirus neutralization assays. As shown in
77 Figure 1, the antibodies were still effective against the B.1.351, although the titers were reduced by 1.49 to 4.6-
78 fold in ID₅₀ and 1.4 to 3.05-fold in ID₉₀ relative to wildtype. The overall trend is that with the increase of the
79 amount of antigen or adjuvant, the differences between the neutralization titer of wildtype and B.1.351 decrease
80 (Figure 1B).

81 In all, we have shown that antibodies elicited by MVC-COV1901 in rats could effectively neutralize the
82 various variants, in particular, the B.1.351 variant.

83 **Human antisera vaccinated with MVC-COV1901 neutralized D614G and B.1.1.7 variants but is** 84 **diminished against the B.1.351 variant.**

85 After confirming that the antibodies induced by MVC-COV1901 in rats could neutralize the VoCs, we
86 tested human serum samples taken from our phase 1 clinical trial drawn at four weeks after the second
87 immunization with low, mid, or high doses of MVC-COV1901 (S-2P adjuvanted with CpG and alum). Figure
88 2 presents the data from pseudovirus neutralization assay of human sera with the panel of wildtype, D614G,
89 B.1.1.7, and B.1.351 variants. Although the neutralization titers dropped in D614G and B.1.1.7 compared to the
90 wildtype, the reductions were not statistically significant. However, when comparing B.1.351 with the wildtype,
91 the titers decreased significantly with the median ID₅₀ of low, mid, and high dose dropping from 311, 342, and
92 633 to 36, 53, and 94, respectively (Figure 2A), and ID₉₀ of low, mid, and high dose dropped from 80, 85, and
93 140 to 23, 26, and 36, respectively (Figure 2C). A dose-dependent effect could be observed when plotting each
94 dose group's neutralizing titers against the variants (Figure 3A). The neutralization titers against B.1.351 could
95 be increased by using a higher dose of antigen. Comparing to the wildtype, B.1.351 resulted in a 10.8 to a 12.8-
96 fold reduction in neutralizing titers for ID₅₀ and 4.2 to 5.3-fold reduction in neutralizing titers for ID₉₀ (Figure
97 3B).

98

99 Discussion

100 In this study, we showed that in humans, two injections of a subunit vaccine consisting of the prefusion
101 spike protein (S-2P) adjuvanted with CpG 1018 and aluminum hydroxide were effective in inducing potent
102 neutralization activity against pseudovirus expressing wildtype, D614G and B.1.1.7 variant spike proteins,
103 albeit to a lesser extent to the B.1.351 variant. Our study showed that the neutralization titers against B.1.351,
104 compared to the wildtype, reduced by 4.2 to 5.3-fold in neutralizing titers for ID₉₀, comparable to results from
105 studies using Moderna Pfizer's vaccinee sera as reported by Wang et al. and others^{6, 13-14}. The data is also
106 consistent with these studies' findings, which show slight changes of neutralization titers against the
107 pseudoviruses of D614G or B.1.1.7. We used the sera from participants of our dose-finding, first-in-human
108 clinical trial to obtain neutralizing titers against the pseudoviruses constructed based on the VoCs. With a fixed
109 dose of CpG 1018 and aluminum hydroxide, the data showed that the absolute neutralizing titers against B.1.351
110 increase with the increase of S-2P antigen in the formulation.

111 The decreasing difference between the neutralizing titer for wildtype and B.1.351 as the neutralizing tier
112 of wildtype rises is biologically plausible for the below reasons. First, antibody functions other than
113 neutralization have been shown to correlate with protection. The higher the overall neutralizing titer, the larger
114 the reserve of other antibody functions that could be effective against the virus¹⁵⁻¹⁶. Second, the neutralizing
115 antibodies elicited by MVC-COV1901's spike protein trimers are polyclonal, as multiple antigenic epitopes
116 could be identified on the protein. The monoclonal antibody (mAb) activities abolished by the mutated site
117 could theoretically be compensated when titers of other neutralizing monoclonal antibodies increase. Amanat
118 et al.¹⁷ profiled the polyclonal antibodies induced by a SARS-CoV-2 spike mRNA vaccine. They demonstrated
119 the co-dominance of mAbs targeting the N-terminal domain (NTD) and receptor-binding domain (RBD). The
120 mAbs targeting RBD showed smaller abolishment of neutralizing activities against viral variants carrying
121 E484K compared to that of NTD. The competing mAbs bind differentially to variants, suggesting the protective
122 importance of the otherwise-redundant mAbs against the VoCs¹⁸. It is unclear if the proportion of neutralizing
123 mAbs targeting NTD and RBD would change as the dose escalation was conducted in our first-in-human study,
124 but the overall higher neutralizing antibody titer among the high dose antigen group means a higher dose of

125 effective mAbs against the viral variant in question (Fig. 1 and 2). Our findings suggest that the neutralizing
126 antibody titers elicited by the wildtype strain correlate with the reaction against VoCs. The study results by
127 Garcia-Beltran et al. using sera of 99 vaccinees of Pfizer and Moderna COVID-19 vaccines demonstrated that
128 after the second dose of both vaccines, the neutralizing antibody titers against the pseudoviruses of VoCs
129 increase significantly⁵. The above findings lead to our thinking that it might be a viable option to combat the
130 VoCs by increasing the prototype antigen, the adjuvant, or the number of shots, without redesigning the antigen.
131 Our study generates points of interest warranting further investigation: The possibility of epitope spreading by
132 adjuvant CpG 1018 to extend antibody coverage; the potential of current SARS-CoV-2 vaccine design to protect
133 against the infection of VoCs if the overall neutralizing titer is boosted by the increase of antigen, adjuvant, or
134 the number of shots.

135 Developing a new COVID-19 vaccine to cope with the VoC is inherently reactive due to the following
136 unknowns, the clinical significance of the emerging strain, the geographical distribution where the strain will
137 be predominant, and how they will mutate further. The FDA has published guidance for the industry to change
138 the antigen based on the VoC to gain regulatory approval using the data accrued from the earlier development
139 stages¹⁹. Nevertheless, the rollout of variant-specific vaccine that targets a geographical area where the strain is
140 dominant can compromise a vaccination program's feasibility and timeliness, particularly in a low-and-middle-
141 income setting. Further, it is unknown if the phenomenon of "original antigenic sin" would cause a problem for
142 COVID-19, as a redesigned antigen might preferentially boost the antibodies elicited by the original antigen²⁰.
143 Thus, it is desirable to have a COVID-19 vaccine that can cover the emerging variants for a given period before
144 the accumulated mutations lead to a clinically significant abolishment of the neutralizing capacity generated by
145 the vaccine.

146 Among the emerging VoCs, the B.1.351 variant first reported in the Eastern Cape of South Africa is more
147 problematic. In vitro study has demonstrated immune escapes of the variant from convalescent plasma collected
148 from individuals infected by earlier variants. An attenuation of neutralization titers up to 200 folds with IC₅₀
149 was reported²¹. The implication of these findings at the individual level is possible reinfection by the variant
150 after a previous episode of SARS-CoV-2 infection²². It raises the question of whether COVID-19 vaccines that

151 were designed based on the original virus could yield protection against the variant at the public health level.
152 This study investigates how the MVC-COV1901 performs in vitro against the VoCs to evaluate if the MVC-
153 COV1901 can yield clinical protection and inform further development strategy. The study is among the first,
154 to our knowledge, to demonstrate dose-dependent neutralizing responses against VoCs, particularly against
155 B.1.351, from different doses of antigen in a clinical trial for a subunit protein COVID-19 vaccine.

156 Our study's limitation is that the sera were taken four weeks after the second shot of MVC-COV19 when
157 the immunity has peaked and starting to wane. Therefore, we could not evaluate the impact of waning immunity
158 on the neutralizing capacity against the variants. The study cannot evaluate the role of T-cell responses elicited
159 by the vaccine as it was reported that the T-cell responses to immunization may confer heterotypic coverage
160 and is less affected by variants of concern²³. COVID-19 vaccines that conduct phase III clinical trials in South
161 Africa when the B.1.351 strain became predominant showed considerably high protection against severe clinical
162 endpoints, while overall efficacy is lower than sites outside of South Africa²⁴. Since there are no correlates of
163 protection being published for either earlier strains or the emerging variants, it warrants further study on how
164 the reduced neutralizing titers will translate to clinical endpoints.

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166 **Methods**

167 **Animal studies**

168 Crl:CD Sprague Dawley (SD) rats were obtained from BioLASCO Taiwan Co. Ltd., and studies were
169 conducted in the Testing Facility for Biological Safety, TFBS Bioscience Inc., and the Center of Toxicology
170 and Preclinical Sciences, QPS Taiwan and immunized as previously described¹². Briefly, rats were immunized
171 twice at two weeks apart with adjuvanted S-2P with dosing as indicated in Figure 1. The sera were harvested
172 two weeks after the second injection and subjected to neutralization assay with pseudovirus expressing SARS-
173 CoV-2 Wuhan wildtype or B.1.351 variant spike proteins.

174 All procedures in this study involving animals were conducted to avoid or minimize discomfort, distress,
175 or pain to the animals and were carried out in compliance with the ARRIVE guidelines
176 (<https://arriveguidelines.org/>). All animal work in the current study was reviewed and approved by the

177 Institutional Animal Care and Use Committee (IACUC) with animal study protocol approval number
178 TFBS2020-010 and CTPS-19-019-01.

179 **Clinical trial**

180 Forty-five subjects from the age of 20 to 49 were enrolled in a prospective, open-labeled, single-center
181 dose-escalation phase 1 study with three separate sub-phases for participants from 20 to less 50 years of age.
182 Each sub-phase consisted of 15 participants. The three different dose levels employed in this clinical trial are
183 low dose (LD), mid-dose (MD) and high dose (HD) μg of S-2P protein adjuvanted with CpG 1018 and
184 aluminum hydroxide for phase 1a, 1b, and 1c, respectively. The vaccination schedule consisted of two doses,
185 administered by intramuscular (IM) injection of 0.5 mL in the deltoid region of the non-dominant arm,
186 preferably 28 days apart, on Day 1 and Day 29. On Day 57 (4 weeks after the second administration), serum
187 samples were taken for pseudovirus neutralization assays. This phase 1 trial has been registered on
188 clinicaltrials.gov as NCT04487210.

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190 **Pseudovirus neutralization assay**

191 Lentivirus expressing the SARS-CoV-2 spike proteins of the Wuhan-Hu-1 wildtype strain was constructed,
192 and the neutralization assay performed as previously described¹². Lentiviruses expressing D614G, B.1.1.7, and
193 B.1.351 variant spike proteins were constructed in the same manner but with the spike protein sequence replaced
194 with the respective variant strain.

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196 **Statistical analysis**

197 The analysis package in Prism 6.01 (GraphPad) was used for statistical analysis. Two-way ANOVA with
198 Tukey's multiple comparison test and Kruskal-Wallis with corrected Dunn's multiple comparisons test were
199 used to calculate significance as noted in respective figure descriptions. * = $p < 0.05$, ** = $p < 0.01$, *** = $p <$
200 0.001 , **** = $p < 0.0001$

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205 1 clinical trial.

207 Competing Interests

208 The authors declare no competing interests.

210 Data Availability

211 The datasets generated during and analyzed during the current study are available from the
212 corresponding author on reasonable request.

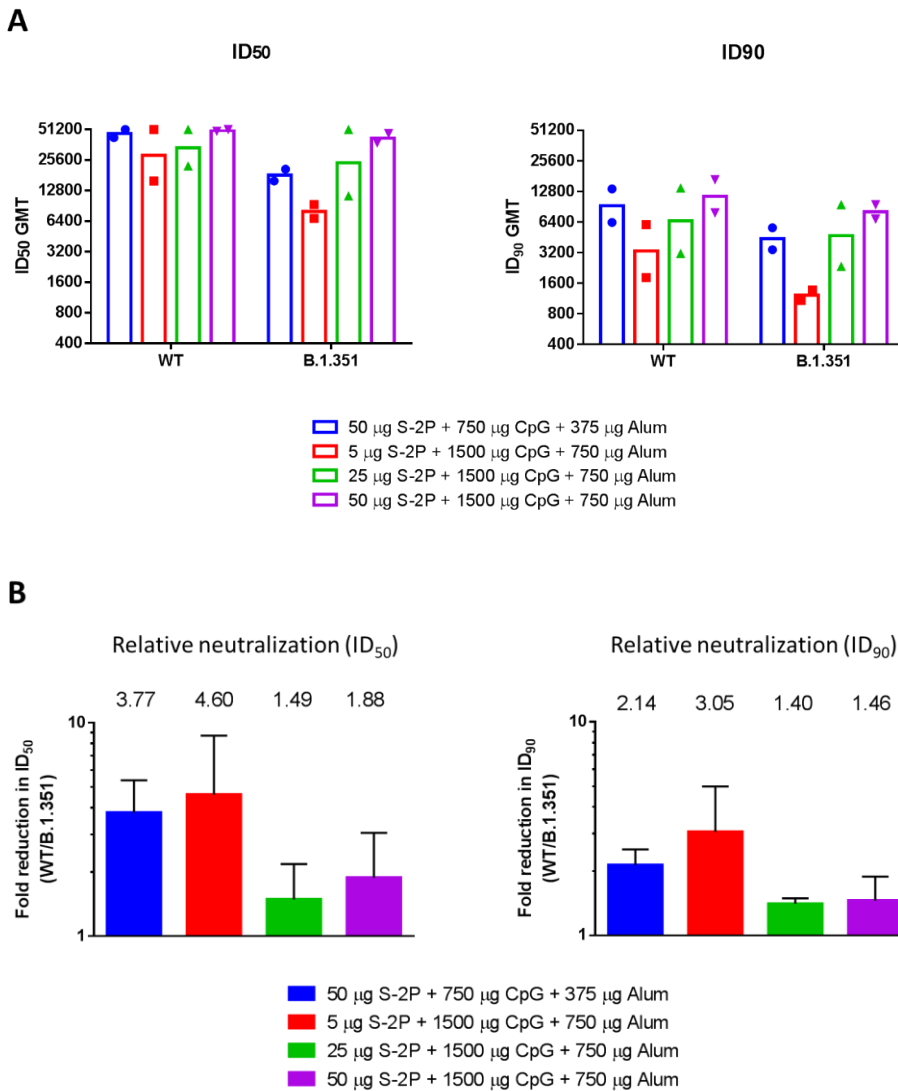
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279 **Figures**

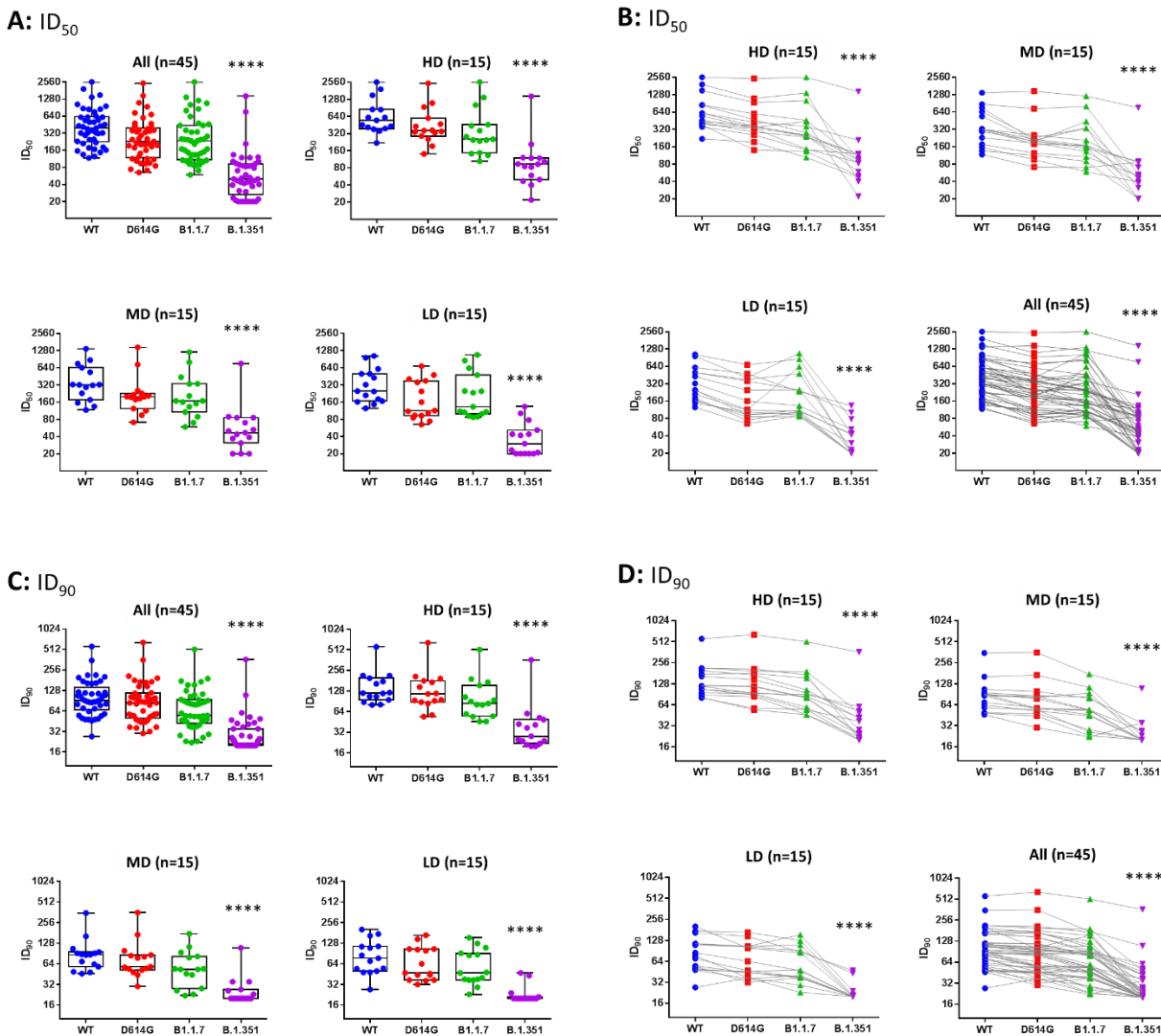


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282 **Figure 1. Neutralization of SARS-CoV-2 pseudovirus bearing wildtype or B.1.351 variant spike**
 283 **proteins by antisera of rats vaccinated with adjuvanted S-2P.** Rats were immunized twice at 2 weeks apart
 284 with the indicated amount of adjuvanted S-2P. For the 50 µg S-2P+750 µg CpG 1018 + 375 µg alum group, 3
 285 males were pooled into one sample and 3 females were pooled into one sample. For the other three groups, 5
 286 males were pooled into one sample and 5 females were pooled into one sample. These resulted in two pooled
 287 samples (N=2) for each dose group. (A) The antisera were harvested two weeks after the second injection,
 288 pooled as indicated above and subjected to neutralization assay with pseudovirus expressing SARS-CoV-2
 289 Wuhan wildtype or B.1.351 variant spike protein to determine the ID₅₀ and ID₉₀ titers of neutralization
 290 antibodies. (B) Fold reductions in neutralization titers (ID₅₀ and ID₉₀) were calculated by dividing wildtype
 291 neutralization titers by B.1.351 neutralization titers. Results are presented in (A) as geometric mean titers with
 292 error bars representing 95% confidence interval and (B) as mean values shown on top of the corresponding bar
 293 and error bars representing standard deviation.

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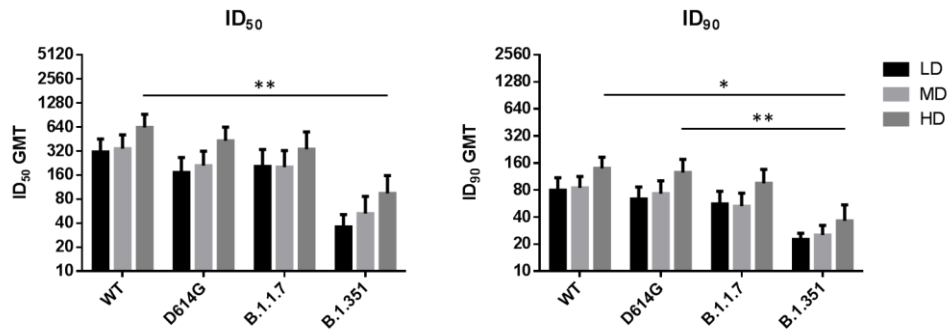
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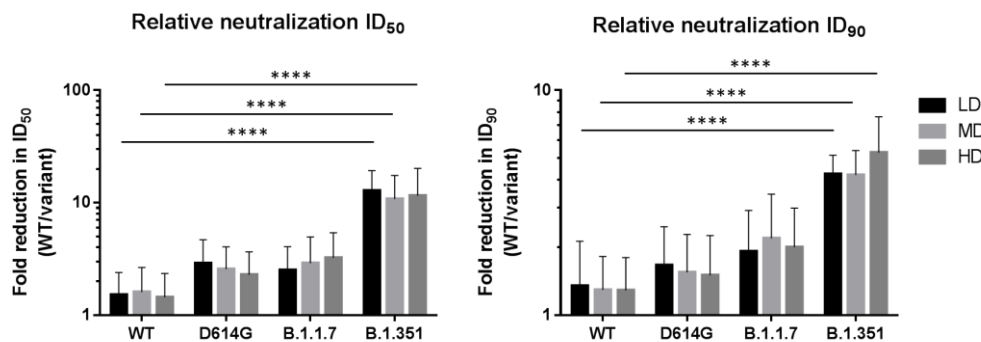
Figure 2. Neutralization of SAR-CoV-2 pseudoviruses with wildtype or variant spike proteins by antisera of clinical trial subjects vaccinated with different doses of MVC-COV1901. Serum samples from clinical phase 1 trial of MVC-COV1901 subjects were collected 4 weeks after the 2nd immunization (56 days from the 1st immunization). (A and B) ID₅₀ and (C and D) ID₉₀ neutralizing titers for low dose (LD), mid-dose (MD), high dose (HD), and all dose groups were measured with pseudovirus neutralization assays. (A and C) Box plots with median represented by the bar and the box forming the 25% to 75% percentile and whiskers extend to min and max values. (B and D): Results are represented here with each dot representing individual serum sample neutralization titer and lines connect each sample from the WT, D614G, B.1.1.7, and B.1.351 neutralization titers. Kruskal-Wallis, with corrected Dunn's multiple comparisons test, was used to calculate significance. * = p < 0.05, ** = p < 0.01, *** = p < 0.001, **** = p < 0.0001.

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B



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Figure 3. Neutralization of variant pseudoviruses across different doses and fold reduction in the neutralization of D614G, B.1.1.7, and B.1.351 variants relative to the wildtype. (A) ID₅₀ and ID₉₀ neutralizing titers of each dose group were plotted for the wildtype and variants. The bars and error bars represent geometric mean titers and 95% confidence interval, respectively. (B) Fold reduction in neutralization versus the wildtype was calculated by dividing ID₅₀ and ID₉₀ neutralization titers of wildtype by that of each variant. Results are plotted as bars and error bars indicating mean and standard deviation, respectively. Two-way ANOVA with Tukey's multiple comparison test was used to calculate significance. * = $p < 0.05$, ** = $p < 0.01$, *** = $p < 0.001$, **** = $p < 0.0001$.